

RECEIVED
CENTRAL FAX CENTER

JUN 02 2009

FACSIMILE COVER SHEET

OTIS ELEVATOR COMPANY
OTIS INTELLECTUAL PROPERTY DEPARTMENT
FARMINGTON, CONNECTICUT

OUR FAX NUMBER IS: (860) 998-3886

IF ALL PAGES ARE NOT RECEIVED, CALL THE FOLLOWING NUMBER IMMEDIATELY:
TELEPHONE: (860) 676-5761

ADDRESSEE: USPTO/Art Unit 3651
ATTN: Examiner Leslie August Nicholson III FAX: 571-273-8300
SENDER: Alexandra B. Urban
TOTAL NUMBER OF PAGES INCLUDING LEAD SHEET: 11
DATE: June 2, 2009
RE: US Patent Application 10/527,729
SAFETY MONITORING FOR A PEOPLE MOVER (Stripling et al.)
Our File: OT-5075

I hereby certify that the following documents (attached) are being facsimile transmitted to the U.S. Patent and Trademark Office at (571) 273-8300 on June 2, 2009, for filing in the above-referenced application:

- Transmittal of Declaration of Inventorship, Notice of Acceptance of Application, and Filing Receipt (1 page);
- Form PCT/RO/101 Box No. VIII (iv), Declaration of Inventorship in PCT/EP2002/010316 (4 pages);
- Notice of Acceptance of Application Under 35 U.S.C. § 371 and 37 CFR § 1.495, mailed Sept. 1, 2005, listing all items received in the above-referenced U.S. Patent Application (2 pages);
- Filing Receipt mailed Sept. 1, 2005 in the above-referenced U.S. Patent Application (3 pages)



Alexandra B. Urban

-NOTICE-

The information contained in this facsimile is confidential information of The Otis Elevator Company and/or its legal counsel and is intended only for the use of the named addressee. If you are not the named addressee or his or her employee/agent, please be advised that disclosure, copying, distribution and/or use of this facsimile or its contents is prohibited. If you are not the named addressee, please immediately telephone the above identified sender collect at the telephone number specified above and Otis will arrange to retrieve the documents at no expense to you. Thank you for your cooperation.

RECEIVED
CENTRAL FAX CENTER

JUN 02 2009

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: RALPH STRIPLING ET AL .)
Serial No. 10/527,729) Group Art Unit: 3651
Filed: March 14, 2005) Examiner: L. Nicholson III
For: SAFETY MONITORING FOR A)
PEOPLE MOVER)

**TRANSMITTAL OF DECLARATION OF INVENTORSHIP, NOTICE OF
ACCEPTANCE OF APPLICATION AND FILING RECEIPT**

Sir:

In response to the request of the Examiner by telephone on June 2, 2009, the Declaration of Inventorship (Form PCT/RO/101, Box No. VIII (iv)) in PCT/EP2002/010316 is being submitted herewith. Also enclosed are the Notice of Acceptance of the Application under 35 USC 371 and 37 CFR 1.495 and the Filing Receipt, both mailed on Sept 1, 2005 in the above-identified application. Should any additional documents or information be required, please do not hesitate to contact the undersigned.

Respectfully submitted,

By: Alexandra B. Urban
Alexandra B. Urban
Registration No. 45,171

OTIS ELEVATOR COMPANY
10 Farm Springs Road
Farmington, CT 06078
(860) 676-5086

Junc 2, 2009



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

U.S. APPLICATION NUMBER NO. 10/527,729	FIRST NAMED APPLICANT Ralph Stripling	ATTY. DOCKET NO. OT-5075
INTERNATIONAL APPLICATION NO. PCT/EP02/10316		
I.A. FILING DATE 09/13/2002		PRIORITY DATE

26584
OTIS ELEVATOR COMPANY
INTELLECTUAL PROPERTY DEPARTMENT
10 FARM SPRINGS
FARMINGTON, CT 06032

CONFIRMATION NO. 8865
371 ACCEPTANCE LETTER



Date Mailed: 09/01/2005

NOTICE OF ACCEPTANCE OF APPLICATION UNDER 35 U.S.C 371 AND 37 CFR 1.495

The applicant is hereby advised that the United States Patent and Trademark Office in its capacity as a Designated / Elected Office (37 CFR 1.495), has determined that the above identified international application has met the requirements of 35 U.S.C. 371, and is **ACCEPTED** for national patentability examination in the United States Patent and Trademark Office.

The United States Application Number assigned to the application is shown above and the relevant dates are:

03/14/2005	03/14/2005
DATE OF RECEIPT OF 35 U.S.C. 371(c)(1), (c)(2) and (c)(4) REQUIREMENTS	DATE OF COMPLETION OF ALL 35 U.S.C. 371 REQUIREMENTS

A Filing Receipt (PTO-103X) will be issued for the present application in due course. **THE DATE APPEARING ON THE FILING RECEIPT AS THE " FILING DATE" IS THE DATE ON WHICH THE LAST OF THE 35 U.S.C. 371 (c)(1), (c)(2) and (c)(4) REQUIREMENTS HAS BEEN RECEIVED IN THE OFFICE. THIS DATE IS SHOWN ABOVE. The filing date of the above identified application is the international filing date of the international application (Article 11(3) and 35 U.S.C. 363).** Once the Filing Receipt has been received, send all correspondence to the Group Art Unit designated thereon.

The following items have been received:

- Copy of the International Application filed on 03/14/2005
- English Translation of the IA filed on 03/14/2005
- Copy of the International Search Report filed on 03/14/2005
- Copy of IPE Report filed on 03/14/2005
- Preliminary Amendments filed on 03/14/2005
- Oath or Declaration filed on 03/14/2005
- U.S. Basic National Fees filed on 03/14/2005
- Assignment filed on 03/14/2005
- Specification filed on 03/14/2005
- Claims filed on 03/14/2005
- Abstracts filed on 03/14/2005

- Drawings filed on 03/14/2005

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

VONDA M WALLACE
Telephone: (703) 308-9140 EXT 225

PART 1 - ATTORNEY/APPLICANT COPY

FORM PCT/DO/EO/903 (371 Acceptance Notice)



UNITED STATES PATENT AND TRADEMARK OFFICE

 UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER FOR PATENTS
 P.O. Box 1450
 Alexandria, Virginia 22313-1450
 www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	RECEIVED	DOCKET NO.	DRAWINGS	TOT CLMS	IND CLMS
10/527,729	03/14/2005	3651	1260	SEP 2005 RECEIVED OTIS INTELLECTUAL PROPERTY	OT-5075	2	10	1

 26584
 OTIS ELEVATOR COMPANY
 INTELLECTUAL PROPERTY DEPARTMENT
 10 FARM SPRINGS
 FARMINGTON, CT 06032

CONFIRMATION NO. 8865

FILING RECEIPT



OC000000016887248

Date Mailed: 09/01/2005

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

 Ralph Stripling, Berlin, GERMANY;
 Dietmar Thaler, Seggebruch, GERMANY;
 Frank M Sansevero, Glastonbury, CT;
 Bernward Engelke, Hildesheim, GERMANY;
 Andreas Stuffle, Buckeburg, GERMANY;
 Peter von Riegen, Barsinghausen, GERMANY;
 Markus Muller, Berlin, GERMANY;
 Olaf Marker, Niedernwahren, GERMANY;
 Carsten Deseniss, Suthfeld, GERMANY;
 Ingo Engelhard, Berlin, GERMANY;
 Uwe Hauer, Nienburg, GERMANY;

Assignment For Published Patent Application

Otis Elevator Company, Farmington, CT

Power of Attorney: The patent practitioners associated with Customer Number 26584.

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/EP02/10316 09/13/2002

Foreign Applications

Projected Publication Date: 12/08/2005

Non-Publication Request: No

Early Publication Request: No

Title

Safety monitoring system for a people conveyor

Preliminary Class

198

PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process simplifies the filing of patent applications on the same invention in member countries, but does not result in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where

the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).